

JC945  
02/05/01Please type a plus sign (+) inside this box → 

02-06-01

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No.	4707-81342
		First Inventor	Robert BERNSTEIN
		Title	Method and Apparatus for Identifying Customers for Delivery of Promotional Materials
		Express Mail Label No.	EL617902155US

JC945  
02/05/01

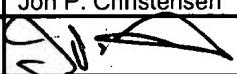
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b>																									
		Commissioner for Patents Box Patent Application Washington, DC 20231																									
1.	<input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>																										
2.	<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.																										
3.	<input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>																										
	Total Pages	15																									
4.	<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)																										
5.	Total Sheets <span style="border: 1px solid black; padding: 2px 5px; display: inline-block;">2</span> Total Pages <span style="border: 1px solid black; padding: 2px 5px; display: inline-block;">2</span>																										
6.	Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).																										
7.	<input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76																										
<b>ACCOMPANYING APPLICATION PARTS</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">9.</td> <td style="width: 80%;"><input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</td> <td style="width: 10%; text-align: right;"><input checked="" type="checkbox"/> Power of Attorney</td> </tr> <tr> <td>10.</td> <td><input type="checkbox"/> 37. C.F.R. § 3.73(b) Statement <i>(when there is an assignee)</i></td> <td style="text-align: right;"><input checked="" type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td>11.</td> <td><input type="checkbox"/> English Translation Document <i>(if applicable)</i></td> <td></td> </tr> <tr> <td>12.</td> <td><input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449</td> <td style="text-align: right;"><input type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td>13.</td> <td><input type="checkbox"/> Preliminary Amendment</td> <td></td> </tr> <tr> <td>14.</td> <td><input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></td> <td></td> </tr> <tr> <td>15.</td> <td><input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></td> <td></td> </tr> <tr> <td>16.</td> <td><input type="checkbox"/> Other _____</td> <td></td> </tr> </table>				9.	<input type="checkbox"/> Assignment Papers (cover sheet & document(s))	<input checked="" type="checkbox"/> Power of Attorney	10.	<input type="checkbox"/> 37. C.F.R. § 3.73(b) Statement <i>(when there is an assignee)</i>	<input checked="" type="checkbox"/> Copies of IDS Citations	11.	<input type="checkbox"/> English Translation Document <i>(if applicable)</i>		12.	<input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449	<input type="checkbox"/> Copies of IDS Citations	13.	<input type="checkbox"/> Preliminary Amendment		14.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		15.	<input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		16.	<input type="checkbox"/> Other _____	
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15.	<input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>																										
16.	<input type="checkbox"/> Other _____																										

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-In-Part (CIP)    Of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
**FOR CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	Jon P. Christensen WELSH & KATZ, LTD.			
Address	120 South Riverside Plaza 22nd Floor			
City	Chicago	State	Illinois	Zip Code
Country	U.S.A.	Telephone	(312) 655-1500	Fax
Name Print/Type)	Jon P. Christensen		Registration No. (Attorney/Agent)	34,137
Signature			Date	February 5, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 400.00

## Complete if Known

Application Number	
Filing Date	concurrently herewith
First Named Inventor	Robert BERNSTEIN
Examiner Name	
Group/Art Unit	
Attorney Docket Number	4707-81342

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
23-0920  
Deposit Account Name  
WELSH & KATZ, LTD.

Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17

2.  Payment Enclosed:  
 Check     Credit     Money     Other Card Order

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English Specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a Brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
Total Claims	25	-20** = 5	x 9	= \$45.00	
Independent Claims	3	-3** = 0	x 0	=	
Multiple Dependent Claims				=	
**or number previously paid, if greater; For Reissues, see below					
Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b> 45.00					
Other fee (specify) _____					
<b>SUBTOTAL (3) (\$)</b>					

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137	Telephone	312-655-1500
Signature			Date	February 5, 2001	

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Date: 02/25/2001 File Number: 03777198  
Fees: \$27.00

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